

03-34-02

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PTO/SB/05 (08-00)

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Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 4692-00001
 First Inventor Richard K. Meissner
 Title Artificial Rock Climbing Arrangement Adapted For Water Environment
 Express Mail Label No. EL812733481US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 14]
(preferred arrangement set forth below)
Descriptive title of the Invention
Cross References to Related Applications
Statement Regarding Fed sponsored R & D
Reference to sequence listing, a table, or a computer program listing appendix
Background of the Invention
Brief Summary of the Invention
Brief Description of the Drawings (if filed)
Detailed Description
Claim(s)
Abstract of the Disclosure

4. ☒ Drawing(s) (35 USC 113) [Total Sheets 9]
(Informal)
5. Oath or Declaration [Total Pages 2]
☒ Newly executed (original or copy)
☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Applicant Data Sheet. See 37 CFR 1.76

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Prior application information:

Examiner _____

Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under B 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.


18. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number of Bar Code Label	 26753 PATENT TRADEMARK OFFICE	or <input type="checkbox"/> Correspondence address below
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Name	William L. Falk, Reg. No. 27,709				
Address	ANDRUS, SCEALES, STARKE & SAWALL, LLP Suite 1100, 100 East Wisconsin Avenue				
City	Milwaukee	State	WI	Zip Code	53202
Country	USA	Telephone	(414) 271-7590	Fax	(414) 271-5770

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as EXPRESS MAIL - POST OFFICE TO ADDRESSEE, in an envelope addressed to Commissioner For Patents, Washington, DC 20231 this 1st day of March, 2002

Name (Print or Type)	Christine S. Lewis	Registration No. (Attorney/Agent)	
Signature		Date	March 1, 2002

1051 U.S. PTO
03/01/02

40087562 030402

10/08/02
10/08/02
10/08/02

PTO/SB/17		COMPLETE IF KNOWN			
FEE TRANSMITTAL		Application Number			
Total Amount of Payment (\$)		Filing Date			
METHOD OF PAYMENT (check one)		First Named Inventor		Richard K. Meissner	
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		Group Art Unit			
Deposit Account Number		Examiner Name			
Deposit Account Name		Attorney Docket Number		4692-00001	
01.2000		FEE CALCULATION (continued)			
ANDRUS, SCEALES, STARKE & SAWALL, LLP		3. ADDITIONAL FEES			
<input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 and 1.17		Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid			
<input type="checkbox"/> Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance. 37 CFR 1.311(b)		105 130 205 65 Surcharge - late filing fee or oath 127 50 227 25 Surcharge - late provisional filing fee or cover sheet 139 130 139 130 Non-English specification 147 2,520 147 2,520 For filing a request for examination 112 920* 112 920* Requesting publication of SIR prior to Examiner action 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action 115 110 215 55 Extension for response within first month 116 400 216 200 Extension for response within second month 117 920 217 460 Extension for response within third month 118 1,440 218 720 Extension for response within fourth month 128 1,960 228 980 Extension for response within fifth month 119 300 218 150 Notice of Appeal 120 300 220 150 Filing a brief in support of an appeal 121 260 221 130 Request for oral hearing 138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive unavoidably abandoned application 141 1,240 241 620 Petition to revive unintentionally abandoned application 142 1,280 242 640 Utility issue fee (or reissue) 143 460 243 230 Design issue fee 144 620 244 310 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 240 126 240 Submission of Information Disclos. Stmt. 591 40 591 40 Recording each patent assignment per property (times number of properties) 146 710 246 355 Filing a submission after final rejection (37 CFR 1.29(a)) 149 710 249 355 For each additional invention to be examined (37 CFR 1.129(b))			
2. <input checked="" type="checkbox"/> Payment Enclosed:		Other fee (specify) _____			
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		Other fee (specify) _____			
FEE CALCULATION (fees effective 10/01/96)		* Reduced by Basic Filing Fee Paid			
1. FILING FEE		SUBTOTAL (3) _____			
Large Entity Small Entity Fee Fee Fee Fee Fee Fee Code (\$) Code (\$) Description Paid		SUBTOTAL (2) (\$)			
101 740 201 370 Utility filing fee \$370.00 106 330 206 165 Design filing fee 107 510 207 255 Plant filing fee 108 740 208 370 Reissue filing fee 114 160 214 80 Provisional filing fee		SUBMITTED BY			
SUBTOTAL (1) (\$)		Complete (if applicable)			
2. CLAIMS		Registration Number			
Total claims 17 - 21 = (4) X = Independent Claims 2 - 3 = (1) X = Multiple Dependent Claims X =		27,709			
Large Entity Small Entity Fee Fee Fee Fee Fee Code (\$) Code (\$) Description		Deposit Account User ID			
103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claim 109 84 209 42 Reissue independent claims over original patent 110 18 210 9 Reissue claims in excess of 20 and over original patent		Signature Date 3-1-2002			

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor		Richard K. Meissner
	Title	Artificial Rock Climbing Arrangement Adapted For Water Environment	
	Atty. Docket Number		4692-00001

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

March 1, 2002

Date


Signature

William L. Falk

Registration No. 27,709

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(b)(iii)).**